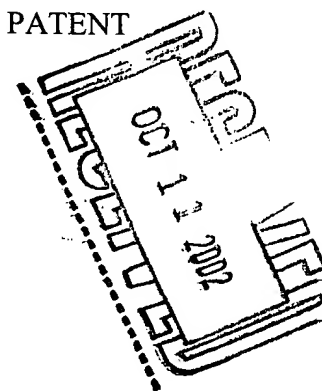


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PATENT & TRADEMARK OFFICE

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Paul H. ROBB

Serial No.: 09/877,926

Filed: June 8, 2001

For: **METHOD AND SYSTEM FOR ENABLING
COLLABORATION BETWEEN ADVISORS
AND CLIENTS**

Docket No.: 23424-016

Confirmation No.: 2458

Group Art Unit: 2163

Examiner: *(Unknown)***RECEIVED**

OCT 07 2002

GROUP 3600**INFORMATION DISCLOSURE STATEMENT (IDS)**Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior


art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required. 37 C.F.R. 1.97(b).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY
and POPEO, P.C.

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